



Third-Party Risk Management & Analytics

Global Insight. Actionable Intelligence.



A technology-driven approach to third-party screening, due diligence, and compliance

Let us help you navigate the dynamic world of third-party risk with solutions to suit your company's business risk profile.

From healthcare and financial services to energy, aerospace, and defense, TDI offers intelligence and analytics to manage risk and compliance within your business and among third parties. We provide compliance officers, risk managers, legal professionals, portfolio managers, and senior level executives with the critical information necessary to operate a business with confidence.

We combine deep expertise and industry-leading technology to help clients successfully manage third-party risk.

Our risk-based due diligence, investigations, and analytics suite offer programmatic solutions to manage risk with third-party business partners, such as distributors, suppliers, vendors, agents, and clients. We have conducted thousands of third-party due diligence investigations to identify information that could pose compliance, business, or reputational risk to our clients, innovating new investigative and reporting methodologies in response to individualized client requirements.

Global Presence and Experience

TDI has over two decades of experience conducting due diligence investigations and has completed thousands of engagements in over 150 countries spanning six continents.

2

decades of experience

150

countries

6

continents

A FULL SUITE OF SOLUTIONS

Global Insight. Actionable Intelligence.



Analytics Suite

TDI offers an analytics suite that gives you insight into third-party risk and your internal compliance program. At the heart of this solution is TDI Diligence Suite.



Due Diligence

With a risk-based approach to managing third parties, we provide programmatic due diligence solutions to help protect your business from compliance risks.



Investigations

TDI has decades of experience investigating commercial fraud and corruption, whistleblower allegations, and conflicts of interest. We also provide a broad range of litigation support services.



Third-party Monitoring

As the risk landscape continues to change, static third-party due diligence is no longer sufficient. TDI has three innovative options for third-party risk monitoring.



Compliance Programs

TDI helps clients develop programs to address evolving compliance regulations related to anti-bribery and corruption (ABAC), anti-money laundering (AML), trade compliance, and other key requirements.



Custom Solutions

We don't offer a one-size-fits-all solution. We tailor our products and services to our client's specific industries and risk profiles and scale them to help manage risk across the entire enterprise.



Training Programs

We provide tailored compliance training for employees and executives. Our formal training program will address your corporate policies as well as international regulations.

TDI Diligence Suite

We deliver insights into your business risk and third-party compliance program.

Rather than managing risk through disparate or outdated systems, we offer TDI Diligence Suite – an enterprise, third-party onboarding and management SaaS system that is specifically designed to help you manage third-party risk. TDI Diligence Suite automates third-party on-boarding, assessments, due diligence, approvals, and monitoring.

Our technology platform creates an auditable record of the entire process, provides valuable and easy-to-understand insight into your enterprise risk, and addresses data privacy requirements.

Industry-Leading Enterprise, Third-Party Onboarding and Management SaaS System



Built on Force.com – the same platform that powers Salesforce – TDI Diligence Suite's foundation is the most secure and robust in the industry.



Addresses data privacy requirements through data residency as a service.



TDI Diligence Suite is a SaaS platform and requires no on-site technology hosting or management.



Localized in over 18 languages, TDI Diligence Suite is enabled with automated translation for effective collaboration.



TDI provides a client-centric approach to third-party risk management.

TDI Diligence Suite was built to be configurable to your needs and flexible to grow and change with your compliance program while meeting the increasing requirements of corporate compliance policies and external regulatory authorities.

Scalable design for cost-effective compliance management

TDI Diligence Suite is used daily for thousands of third-party transactions in over 20 countries and in 18 languages. The system provides an auditable record of all activities associated with onboarding and managing third parties. Key features include:

- An integrated risk model supporting a variety of risk factors and weightings that can leverage internal and external sources of information as model inputs
- Automated checks of third parties against international watch lists, sanctions lists, PEP lists, and adverse media
- Third-party risk monitoring
- Ability to escalate and order additional due diligence from TDI
- Platform support for 35 languages
- Compliance with international data privacy requirements such as the General Data Protection Act (GDPR) and data residency regulations
- Integrates with Single sign-on (SSO), ERP, CRM, HRIS, and most other enterprise systems
- Configurable approval workflows supporting serial and parallel approval processes
- Ability to control the location of the server by region (Americas; Europe, Middle East, and Africa; Asia Pacific)

Configurable risk model

TDI Diligence Suite includes an integrated risk model to support a variety of risk factors and weightings. For jurisdictional risk factors, we incorporate indices such as Transparency International's Corruption Perception Index (CPI). For risk factors that require input from business sponsors or third parties, we automatically pull relevant information from due diligence questionnaires and forms into the model. Our ability to consult with clients in developing new or refining existing risk models differentiates our overall value-added approach to the services we provide.

TDI Diligence Suite Applications

TDI Diligence Suite is comprised of four component applications, offered individually or as part of an integrated solution.

Screening & Monitoring

This application provides users with the ability to search names of companies and individuals against a broad list of adverse media, PEPs, sanctions, restricted parties, and other watch lists. Users may also monitor names against these lists on an ongoing basis.

Diligence Ordering

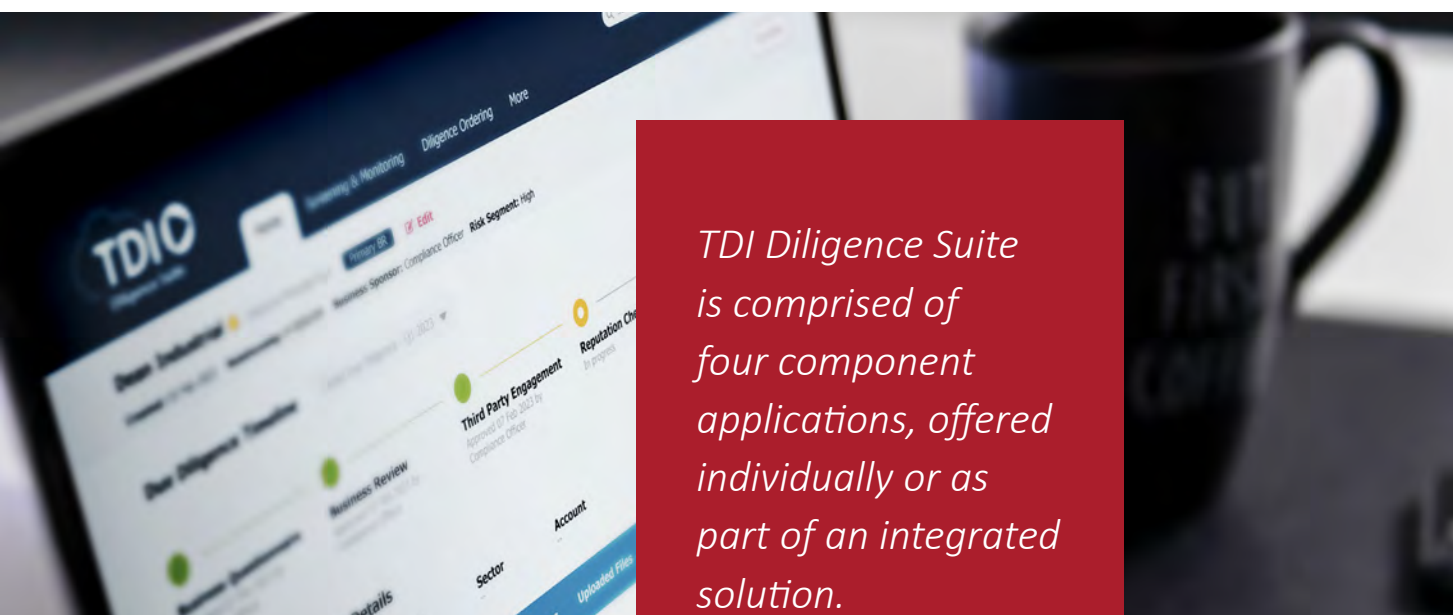
The Diligence Ordering application allows users to order, manage, and report on due diligence investigations requested of TDI's team of professional analysts.

Relationship Manager

The Relationship Manager is a fully configurable end-to-end workflow management platform specifically designed to help clients manage third-party risk.

BOLT Mobile App

TDI places the power of third-party screening into the hands of business users by providing curated prospect vetting information through an easy-to-use mobile application.



*TDI Diligence Suite
is comprised of
four component
applications, offered
individually or as
part of an integrated
solution.*

TDI Diligence Suite Modules

Analytics Module

In addition to the robust reporting already available, TDI Diligence Suite has an analytics module that harnesses the power of Tableau to offer visual analytics that provide deeper insight into the third-party management process as well as risks across the entire portfolio of third parties being managed.

Training Module

TDI Diligence Suite offers an optional fully integrated SCORM-compliant learning management (LMS) system. The training module can be used to deliver video and multimedia-based training to both internal users and external third parties. The results of the training can be used as a control before further actions are allowed or to trigger follow-on tasks once training is completed.

Third-Party Risk Monitoring

An alternative or supplement to traditional due diligence

TDI has innovated, designed, and implemented a third-party risk monitoring program that is not only enabled by the best technology available in the market, but also leverages the research and analysis of our talented and experienced team of professional compliance and risk analysts. We offer two levels of analyst-assisted monitoring:

- **Managed monitoring** – We cross reference all third parties against global sanctions and watch lists, as well as proprietary databases. TDI also offers the ability to screen potential third parties and associated individuals against the client-specific internal black or denied-parties list through the same streamlined service. Our team will review potential name matches on these lists and only provide confirmed adverse results, eliminating the need for client employees to adjudicate false positives.
- **Intelligent monitoring** – Our Intelligent monitoring service is based on a recurring monthly, bi-monthly, or quarterly review of open sources and news media citations to identify recent third-party risk-relevant information pertaining directly to all monitored individual and corporate subjects. These analyst-driven searches identify derogatory information that has become public within the current reporting period for a single individual or corporate subject.

We also offer a basic “platform” monitoring solution for clients who choose to review and adjudicate false positives on their own.



TDI 