



TDI DILIGENCE SUITE

TDI Diligence Suite is an enterprise, third-party onboarding and management SaaS system that is specifically designed to help you manage third-party risk. TDI Diligence Suite automates third-party onboarding, assessments, due diligence, approvals, and monitoring. Our technology platform creates an auditable record of the entire process, provides valuable and easy-to-understand insight into your enterprise risk, and addresses data privacy requirements.

A CLIENT-CENTRIC APPROACH TO THIRD-PARTY RISK MANAGEMENT

TDI Diligence Suite was built to be configurable to your needs and flexible to grow and change with your compliance program while meeting the increasing requirements of corporate compliance policies and external regulatory authorities. TDI consults with clients on design and implementation, creating risk models and compliance processes that enable risk-based decisions while leveraging disparate data streams. We work with multinational companies to develop processes that work across their businesses, to turn risk indicators into actionable information, and to tailor the platform to meet our clients' specific business needs. For smaller businesses and compliance teams, we provide a streamlined version of TDI Diligence Suite, allowing for rapid implementation with minimal configuration.

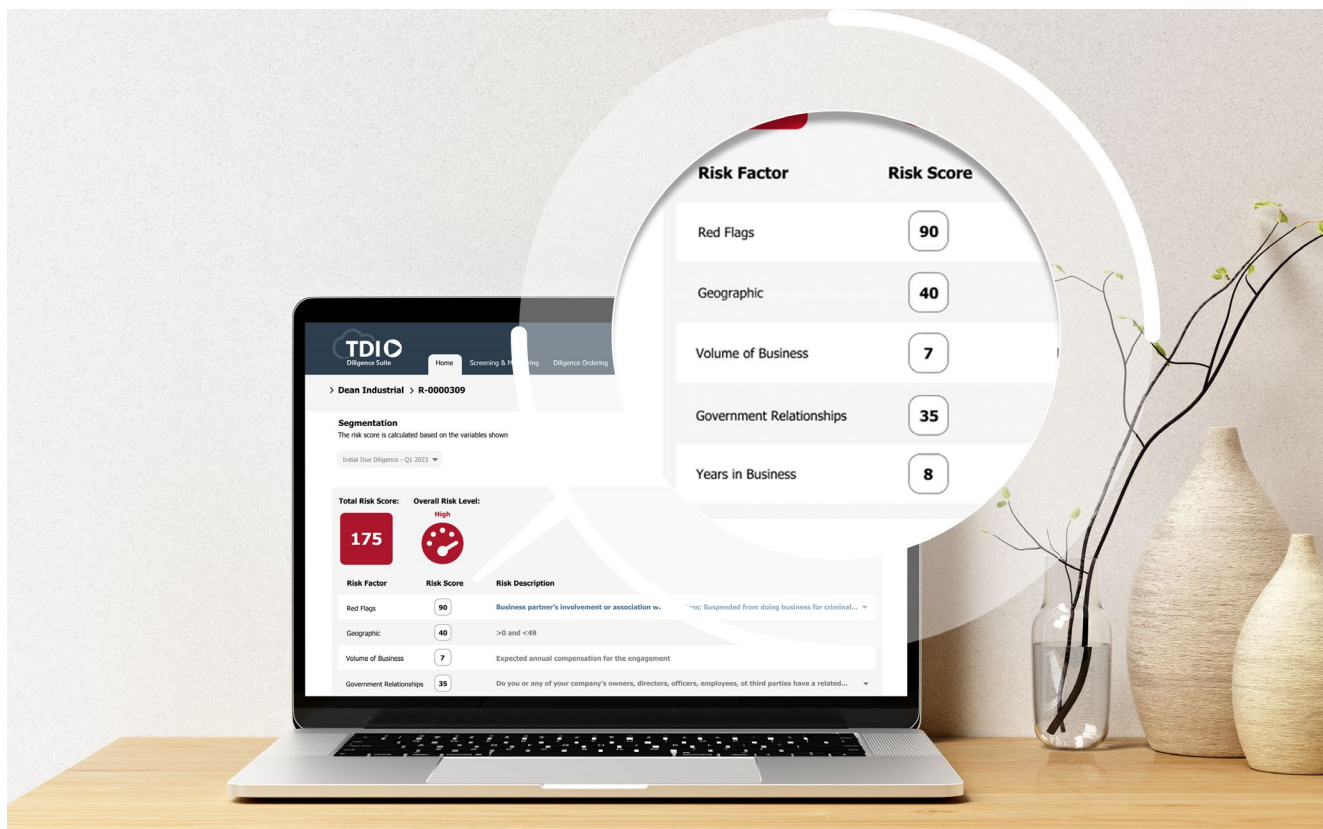
SCALABLE DESIGN

TDI Diligence Suite is used daily for thousands of transactions around the world. The system provides an auditable record of all activities associated with onboarding and managing third parties. Key features include:

- An integrated risk model supporting a variety of risk factors and weightings that can leverage internal and external sources of information as model inputs
- Automated checks of suppliers, distributors, customers, or other third parties against international watch lists, sanctions lists, PEP lists, and adverse media
- Third-party risk monitoring
- Ability to escalate and order additional due diligence from TDI
- Platform support for 35 languages
- Addresses international data privacy requirements such as the General Data Protection Act (GDPR) and data residency regulations
- 256 AES data encryption at rest and in transit
- Integrates with single sign-on (SSO), ERP, CRM, HRIS, and most other enterprise systems
- Configurable approval workflows supporting serial and parallel approval processes
- Ability to control the location of the server by region (Americas; Europe, Middle East, and Africa; Asia-Pacific)



CONFIGURABLE RISK MODEL & DATA STREAMS



TDI Diligence Suite includes an integrated risk model to support a variety of risk factors and weightings. For jurisdictional risk factors, we incorporate indices such as Transparency International's Corruption Perception Index (CPI). For risk factors that require input from business sponsors or third parties, we automatically pull relevant information from due diligence questionnaires and forms into the model. Integration with other data sources can also be leveraged to add additional risk factors to a client's model.

Our ability to consult with clients in developing new or refining existing risk models differentiates our overall approach.

SECURE, ROBUST PLATFORM

TDI Diligence Suite is powered by Salesforce, a leader in the cloud-service industry. Security features include:

- Secure data centers with 24-hour manned security, biometric scanning access, concrete-walled data center rooms, computing equipment in access-controlled steel cages, video surveillance throughout the facility and perimeter, and buildings engineered for local seismic, storm, and flood risks

- Connection to the environment via TLS cryptographic protocols using global step-up certificates to establish a secure connection from browsers to TDI Diligence Suite
- Network protection via perimeter firewalls, edge routers blocking unused protocols, and internal firewalls that segregate traffic between the application and database tiers

NTH PARTY RELATIONSHIP MANAGEMENT

Different business units within a company may be working with the same customer, supplier, or sub-supplier, possibly engaging them for a completely different service. TDI Diligence Suite supports the transparency of an organization's universe of third parties and sub-third parties while reconciling risk at the business unit level. Our system can leverage the data that one business unit may have on a third party and connect risk with sub-third parties. This multi-tier capability saves time and money by avoiding duplication of effort, while also offering deeper insight into the full universe of third-party relationships.

APPLICATIONS

TDI Diligence Suite is comprised of component applications, offered individually or as part of an integrated solution.

SCREENING & MONITORING

TDI Diligence Suite enables clients to enter third parties directly into the system via automated forms configured to capture client-defined information. Third parties are automatically pulled from completed questionnaires and screened in real time with an intuitive approach for reviewing and determining the validity of screening results. Third parties can also be tagged for ongoing daily monitoring. The system will automatically screen third parties against the following information sources.

Sanctions and Watch Lists	Politically Exposed Persons	Adverse Media
1,100+ global lists, to include: • International sanctions • Regulatory bodies' actions • Debarment lists & fraud warnings • Disciplinary/enforcement actions • Law enforcement press	• 1.9+ million global PEPs • PEP profiles • Categorized by type, level, country, and risk rankings • Names, aliases, DOBs, position history • Family members & associates	• 3+ billion media articles • Daily media scanning of newspapers, magazines, TV, and radio transcripts • Standardized categories with >60 risk codes

TDI Diligence Suite can also be integrated with a client's preferred information service provider to maintain a holistic view of third-party risk information.



DILIGENCE ORDERING

The Diligence Ordering application allows users to order, manage, and report on due diligence investigations requested of TDI's team of professional analysts.

RELATIONSHIP MANAGER

The Relationship Manager is the most robust component within TDI Diligence Suite. It is a fully configurable end-to-end workflow management platform specifically designed to help clients manage third-party risk. In addition to automating third-party onboarding, risk segmentation, due diligence, and approvals, the Relationship Manager offers the following optional modules:

Analytics

In addition to the robust reporting already available, TDI Diligence Suite has an analytics module that harnesses the power of Tableau to offer visual analytics that provide deeper insight into the third-party management process as well as risks across the entire portfolio of third parties being managed. Users can create dynamic visualizations and reports based on information provided by third parties, your users, approval processes, time-based parameters, etc. Dashboards are designed to be user friendly and easily exported for executive use. Our risk assessment functionality is also fully integrated into the approval process and risk matrix. This means that users can easily report across these areas of the platform to deliver key insights into the company's risk profile vis-à-vis the approval process.

TDI Diligence Suite can be configured to support risk identification and scoring at any point in the third-party lifecycle. TDI has a deep understanding of industry-specific risk categories and would work closely with you to thoroughly understand your specific risk profile. We have built our product to accommodate a wide range of client business requirements through straightforward configuration, not costly customization.

Training

TDI Diligence Suite offers an optional fully integrated learning management (LMS) system. The training module can be used to deliver video and multimedia-based training to both internal users and external third parties. The results of the training can be used as a control before further actions are allowed or to trigger follow-on tasks once training is completed.