

MOSAICRM SOFTWARE
THE COMPLIANCE TEAM

INCREASE VISIBILITY OVER THE FIRM'S RESEARCH ACTIVITY

MosaicRM Software provides compliance teams a single interface for managing research-related compliance requirements. The application tracks expert network calls, management meetings, and vendor due diligence documents to give users visibility over their firm's research activity, and streamlines workflows, approvals, and record-keeping.

COMPLIANCE TEAM FEATURES

Aggregate research-related activity into a single database

- Capture meeting details from all expert networks
- Track management meeting and corporate access
- Log vendor due diligence and related approvals

Automate workflows and data capture

- Autopopulate meeting details via email scraping and calendar syncing.
- Automate compliance attestation requests
- Track data changes to maintain audit trails

Reporting tools give you the information you need, when you need it

- Bring attention to possible breaches in your firm's policies with email alerts
- Review overall research activity across vendors, analysts, or projects with dashboards
- Access data from anywhere

ABOUT MOSAICRM SOFTWARE

MosaicRM Software is a subsidiary of Mosaic Research Management, a provider of technology, customized recruiting services, and proprietary data subscriptions to fund managers at the vanguard of proprietary research. Clients value our best of breed technology, our flexible implementation options, and our company-wide reach.

CONTACT INFO@MOSAICRM.COM TO GET STARTED

