Finity360™ Docs

Have you ever wondered how much your paper documents – notes, memos, letters, faxes, reports, proposals, photocopies and presentations – are costing your organization every day? You may not have an exact figure. But it's probably not pocket change.

That's why we created **Finity360™ Docs**, a powerful Salesforce document management APP that utilizes AWS FINRA compliant storage and AI technology to provides a better, automated way of organizing, capturing, digitizing, approving, and completing tasks involving your business files and documents. As a broker-dealer, wealth manager, credit union or futures commission merchant (FCM), it's one of the most important parts of a paperless office strategy you can implement to dramatically reduce the pain and cost of paper, time, storage, and audit requests.

Part of our cloud-based Finity360 suite of digital data solutions for capital markets, Finity360 Docs provides a secure, central online repository of all your legacy documents and smart contracts where they're instantly accessible. Translation? Greater efficiency, productivity and savings. And it's good for the environment.

File Storage

Finity360 Docs users can now upload, access and share large files from within Salesforce. It provides seamless integration between Salesforce and Finity360 Hosting (our highly cost-effective and secure data infrastructure in the cloud) to securely store and retrieve files from within Salesforce.

Files on Any Salesforce Record

With Finity360 Docs, users can upload multiple files with the click of a button. It works with both standard and custom Salesforce objects and allows native Salesforce files to be stored on Finity360 Hosting.

- Lives native in Salesforce.com
- SEC and FINRA compliant
- Highly customizable and scalable
- Eliminates Salesforce and file limit restrictions
- Blockchain enabled

Finity360™

File Sharing

At any time, you'll likely need to share a file with a client, a partner or an agency. In the old days, you'd just fire it across as an email attachment. But with more businesses working in close collaboration, large volumes of confidential information are being shared more frequently. Finity360 Docs takes care of this by sharing files externally with an external business who does not have access to Salesforce or a document management system. Finity360 Docs also ensures audit details are maintained to keep track of file deliveries.

Files in Folders

Currently, Salesforce does not allow users to organize files into folders under any record, making them tricky to find. This is especially challenging if you have many documents related to a record. With Finity360 Docs, you can organize files into folders (and sub-folders) which can be easily accessed later.

Customizable

Being a native Salesforce application, Finity360 Docs delivers all the standard functionality such as triggers, flows, custom fields, workflows and approval processes. In addition, Apex and Visualforce can be used with Finity360 Docs (which is also Lightning-ready) to customize your document management process.

Finity360 Vault Lock

Finity360 Docs provides financial services firms with a cost-effective platform to help facilitate compliance with FINRA and SEC 17a-4 requirements. Firms who use Salesforce can now use the same platform to retain and delete their content in accordance with SEC 17a-4 for broker-dealers.

This is important to the industry for a number of reasons. First, companies no longer need to purchase a separate retention management system (and move documents from their content management system). This helps to reduce possible errors and the risk of non-compliance, while also helping to reduce time, maintenance and IT costs.

Key Benefits:

▶ Increases information security

▶ Streamlines compliance with SEC and FINRA retention guidelines

▶ Expedites audits by quickly producing records on demand

▶ Reduces the cost of document storage and retrieval

▶ Simplifies compliance

▶ Transforms compliance from a cost center into a competitive advantage

▶ Acts as an extension of your legacy application with simple, single sign-on accessibility

▶ Becomes a repository for your blockchain smart contracts and revision audit trail

In addition, Finity360 Docs ensures that meeting compliance standards will not interrupt the user experience, which reduces the burden on employees and administrators.

By ensuring your stored files are protected from modification, replacement and deletion, Finity360 Docs complies with industry-specific Write Once, Read Many (WORM) rules.

Autofile

Autofile that allows users to drag and drop forms into DOCS and has them read and filed automatically.

Analyze & AI

Analyze & AI that allows us to read both text input and handwritten data from forms that can be used to validate, create, update, and send data without rekeying information into another system.

Finity360™

Data Protection

Finity360 Docs helps safeguard data against disaster. Fires, floods or any natural disaster can wipe out paper records, which can be extremely costly for investment firms. When your documents are backed up in our secure Finity360 Hosting data infrastructure, it's much easier to resume business as usual. Backed by the Amazon S3 Service Level Agreement, we are fully equipped to deliver the highest level of reliability and 24/7 service availability.

Simplifies Audits

No company wants their employees to have to spend valuable time searching through the file room. When you're facing an SEC, FINRA or state audit, Finity360 Docs can make the process faster and simpler.

Finity360 Docs makes document retrieval fast and easy. It uses native Salesforce search/retrieve functionality and finds documents almost instantly.

By removing manual operations from the audit process, broker-dealers, RIAs and other financial services firms have been able to significantly speed up their audit process and reduce business interruption by streamlining document storage and retrieval with Finity360 Docs.

Blockchain, Smart Contracts & Reporting

Finity360 Docs is blockchain-enabled (Ethereum and Hyperledger) and is your repository for smart contracts. As your firm adopts blockchain, Finity360 Docs will link your existing customer documents to new smart contracts. This ensures the integrity of your documents remains in one place, is easy to find, and provides the transparency required by any regulatory agency.

Finity360 Docs utilizes Salesforce Analytics to deliver a rich UI that supports a wide variety of reporting and business intelligence requirements. Use it to generate quick reports and gain insights related to your books and records, business processes and other important files and documents.

Enhanced Security for Your Data

Finity360 Docs provides a highly durable storage infrastructure designed for mission-critical and primary data storage. Files, books and records are redundantly stored on multiple devices across multiple Amazon regional facilities. Once your files, books and records are stored, Finity360 Docs maintains their durability by quickly detecting and repairing any lost redundancy.

Finity360 Docs also regularly verifies the integrity of the data that is stored. If Finity360 Docs detects data corruption, it is quickly repaired using redundant data. In addition, Finity360 Docs also monitors all network traffic to detect corruption of data packets when storing or retrieving data.

Finity360 Docs standard storage is:

- ▶ Backed with the Amazon Web Services' (AWS) Service Level Agreement
- ▶ Designed to provide 99.999999999% durability and 99.99% availability of objects over a given year
- ▶ Designed to sustain the concurrent loss of data in two facilities

Document Versioning

Finity360 Docs further protects your data using document versioning. You can use versioning to preserve, retrieve and restore every version of every file Finity360 Docs stores in the cloud. With versioning, you can easily recover from both unintended user actions and application failures. By default, requests will retrieve the most recently written version. And, if you would like to go back and review or download your old file version, you can do that, too.

About Finity360

Finity360, an innovation of Arcus Inc., is a suite of native-Salesforce, cloud-based document and data management solutions that give financial institutions the agility, scale and security they need to gain maximum business value from their traditional documents, digital assets, and operational workflows.

Based on more than 30 years of experience in capital markets, securities back-office operations, data aggregation and system integration, Finity360 helps financial services organizations modernize their operations and revenue models by addressing and eliminating technology, process, data and regulatory challenges.

1500 Chestnut St. #1009
Philadelphia, Pa 19102
USA

+1 (888) 942-7287

Visit our website